



# cOAlitionS announces PlanS

## Timing, authors, main principle

### Main Principle:

By 2020 scientific publications that result from research funded by public grants provided by participating national and European research councils and funding bodies, must be published in compliant Open Access Journals or on compliant Open Access Platforms.

### Timing:

- Publication: 04. September 2018
- Feedback: 08. February 2019
- OA Goal: 01. January 2020

### Authors:

- [Science Europe](#)
- ERC
- European Commission

In addition:

## 10 Guiding Principles of Plan S

**01**

Authors retain copyright of their publication with no restrictions. All publications must be published under an open license, preferably the Creative Commons Attribution Licence CC BY. In all cases, the license applied should fulfil the requirements defined by the Berlin Declaration;

**02**

The Funders will ensure jointly the establishment of robust criteria and requirements for the services that compliant high quality Open Access journals and Open Access platforms must provide;

In addition:

## 10 Guiding Principles of Plan S

**03**

In case such high quality Open Access journals or platforms do not yet exist, the **Funders will**, in a coordinated way, **provide incentives to establish and support** them when appropriate; support will also be provided for **Open Access infrastructures** where necessary;

**04**

Where applicable, **Open Access publication fees are covered by the Funders or universities, not by individual researchers**; it is acknowledged that all scientists should be able to publish their work Open Access even if their institutions have limited means;

In addition:

## 10 Guiding Principles of Plan S

**05**

When Open Access **publication fees** are applied, their **funding is standardised and capped** (across Europe);

**06**

The Funders will ask **universities, research organisations, and libraries to align their policies and strategies**, notably to ensure transparency;

**07**

The above **principles shall apply to all types of scholarly publications**, but it is understood that the timeline to achieve **Open Access for monographs and books may be longer** than 1 January 2020;

**In addition:**

## 10 Guiding Principles of Plan S

**08**

The **importance of open archives and repositories for hosting research outputs is acknowledged** because of their long-term archiving function and their potential for editorial innovation;

**09**

The **'hybrid' model of publishing is not compliant** with the above principles;

**10**

The **Funders will monitor compliance and sanction non-compliance.**

# Coalition S

## Authors

### National funders



### Charitable foundations



# Reactions

## Support

$u^b$

<sup>b</sup>  
UNIVERSITÄT  
BERN

**DFG** Deutsche  
Forschungsgemeinschaft

**FNSNF**  
SWISS NATIONAL SCIENCE FOUNDATION

 Vetenskapsrådet

**FCT**  
Fundação para a Ciência e a Tecnologia  
MINISTÉRIO DA CIÊNCIA, TECNOLOGIA E ENSINO SUPERIOR

  
Euro  
Science

0 D O N A C C E S S  
2 0 2 0

 LIBER  
Ligue des Bibliothèques  
Européennes de Recherche  
Association of European  
Research Libraries

**LE  
RU**  
LEAGUE OF EUROPEAN RESEARCH UNIVERSITIES

  
EMBO

  
eulife

 ZonMw

  
EUA  
European University Association

  
MARIE CURIE  
ALUMINI

  
SPARC  
Europe

  
COAR  
Confederation of Open Access Repositories

  
FOAA  
Fair Open Access Alliance

  
eurodoc  
The European Council of Doctoral  
Candidates and Junior Researchers



**NHS**  
National Institute for  
Health Research

  
Yerun  
Young European Research Universities

  
OSPA  
Open Access Scholarly  
Publishers Association

  
DARIAH-EU

  
OpenAIRE

FICCO

  
The African  
Academy of Sciences

  
RIKSBANKENS  
JUBILEUMSFOND  
THE SWEDISH FOUNDATION FOR  
HUMANITIES AND SOCIAL SCIENCES



# Reactions

## Backlash and ongoing discussions

### Selection of issues

- Timeline too aggressive
- Many questions regards implementation
- Lack of involvement of researchers
- Lack of involvement of HEI
- Underplay of OA green (repositories)
- Overplay of technical aspects (XML)
- Difficulties of APC caps, costs and funding

The screenshot shows a Twitter thread. The top tweet is from Mattias Björnmalm (@bearore) dated May 21. It asks how Plan S will affect researchers and mentions an interactive debate on the implications of Plan S, focusing on open science and Plan S's impact on researchers. It includes a link to an event page. Below the tweet is a quote from the Royal Society of Chemistry: "Plan S is the proposal that all funded research be published open access from January 2020. There's been no shortage of opinions from publishers, funders and learned societies on the implications of this proposal, but the voice of researchers has got a little lost. We think researchers should have the opportunity to weigh in and be heard on an issue that affects them." The tweet has 2 replies, 1 retweet, and 6 likes. The second tweet is a retweet from Ivonne Lujano (@ivonnelujano) dated 20h. It mentions the DOAJ (Directory of Open Access Journals) and states that colleagues @greboun and @ivonnelujano will be doing a course titled "Evaluation of scholarly works: current practices and consequences of Plan S". It includes an agenda link: force11.org/fsci/2019/cour... and hashtags #FSCI2019, #planS, and #Scholarly Comms #openaccess #oa. Below the retweet is a link to a course list: force11.org/2019/Course-List. The course list link includes the text: "2019 Course List Course Abstracts - Click on the course number below or go to page here."

# Uni Bern

## OA and Reaction to Plan S

### Resources

Statement via The Guild can be found [here](#)

UniBern Open Access Policy can be found [here](#)

Open Science Team Bern can be found [here](#)



8 February 2019

### Feedback - Guidance on the Implementation of Plan S

*Question 1 - Is there anything unclear or are there any issues that have not been addressed by the guidance document?*

#### 1. Aim and scope of Plan S

- Although the general aim and scope of Plan S is welcomed, many implementation aspects are still not well defined and require more clarification. Further consultation and dialogue with universities and the scholarly community is needed for the definition of Plan S' implementation paths, to understand the impact that Plan S will have on different disciplinary fields but also how a systemic change towards Open Access can be achieved in a collaborative effort. Consequences of the implementation of Plan S can be particularly problematic for:
  - Small, new and interdisciplinary subject areas
  - Subject areas which currently do not have recognised Open Access channels
  - Temporary academic staff
  - Recruitment processes for researchers from countries which are not subject to Plan S
  - Co-publication with researchers not subject to Plan S
- It must be noted that the principles of the San Francisco Declaration on Research Assessment (DORA), that is to shift the focus to the content and quality of research outputs, are not yet universally implemented at the institutional and funder level.

# Thank You

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**Dr. Andrea Hacker, Open Science Team, @ahacker, andrea.hacker@ub.unibe.ch**

Coffee Lecture „Plan S“, 28. Mai 2019, Mittelstrasse (Presentation: CC-0)

